

ARDAN: Account Application walkthrough-

Please complete the application clearly and in full using BLOCK CAPITALS.

Section 1 Your details

Ensure all details are filled out and match as per passport.

**If applicant is a female and has changed her last name upon marriage, enter her maiden name under "Previous name".*

Contact details and physical address must be accurate same with the provided supporting documents (proof of residential address).

If the details are same as the current residential address, please completely fill again this section.

	First applicant	Second applicant (if applicable)
Title	Mr	Select...
Other		
Gender	Male	Select...
Other		
First name	John	
Middle name(s)		
Last name(s)	Smith	
Previous name (if applicable)		
Date of birth	01/01/1973	
Place of birth	Dubai	
Country of birth	United Arab Emirates	Select...
Nationality	United Kingdom	Select...
Dual nationality	Select...	Select...
Are you a Specified US Person?	No	Select...

Current residential address		
Address line 1	Villa 1	
Address line 2	Street 1	
Address line 3	Jebel Ali Gradens	
Town/city	Dubai	
Country	United Arab Emirates	Select...
Postcode		
Home telephone number		
Country code	Select...	Select...
Telephone number		
Mobile telephone number		
Country code	United Arab Emirates-971	Select...
Telephone number	501234567	
Relationship to first applicant		Select...
Email address (mandatory)	johnsmith@mail.com	

CORRESPONDENCE DETAILS

Please note that any correspondence we are required to send to you will be sent to the address you provide here. If no correspondence address is supplied we will use the current residential address of the first applicant.

Address line 1	
Address line 2	
Address line 3	
Town/city	
Country	United Arab Emirates
Postcode	01234
Is this address for	You

Section 1 Your details continued

First applicant

Second applicant (if applicable)

EMPLOYMENT DETAILS

Name of employer	XYZ Airlines LLC	
Address of employer		
Address line 1	Office no. 5	
Address line 2	Building 1	
Address line 3	Dubai Air Wing Street 1	
Town/city	Al Garhoud, Dubai	
Country	United Arab Emirates	Select...
Postcode		
Nature of employer's business	Aviation Transport	
Occupation*	Commercial Pilot	
Job title	Captain	
Length of service	5 years Select.. months	Select.. years Select.. months
Annual gross salary	Currency AED Amount 550000	Currency Select... Amount

* If you are retired or unemployed please provide details of your last employment before retirement or unemployment

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Ensure ALL employment details are filled. All fields are compulsory.

If living in the UAE, can fill out the following, but MUST add their Emirates ID number below.

Declaration of Tax Residence

I hereby confirm that I am, for tax purposes, resident in the following jurisdictions. Please indicate the Tax Identification Number ("TIN") for each jurisdiction.

Jurisdiction of tax residence	United Arab Emirates	TIN	N/A
Jurisdiction of tax residence	Select...	TIN	
Jurisdiction of tax residence	Select...	TIN	

If a TIN is not available please provide a functional equivalent (such as your social security, national insurance, citizen, personal identification or a resident registration number). If no TIN or functional equivalent is available for any of the jurisdictions listed please advise the reason why (such as the jurisdiction does not issue such numbers) below:

784-1973-1234567-2

Further information on the issuance rules for TINs and their format can be found on the OECDs AEOI Portal:
<https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/>

Declaration of US Citizenship or US Residence for Tax Purposes

Please select (a) or (b) or (c) on the dropdown list:

c - Not a US citizen or US resident for tax purposes

(a) I confirm that I am a US citizen and/or resident in the US for tax purposes (green card holder or resident under the substantial presence test) and my US federal taxpayer identifying number (US TIN) is:

(b) I confirm that I was born in the US (or a US territory) but I am no longer a US citizen as I have voluntarily surrendered my citizenship as evidenced by the attached documents.

(c) I confirm that I am not a US citizen or resident in the US for tax purposes.

Please choose the appropriate option.

Section 2 Investment portfolio details

Please ensure you complete a separate Pricing Schedule and attach it to this application.

Portfolio name (mandatory)

PORTFOLIO ACCOUNT BASE CURRENCY

Please select one currency which your account charges will be deducted in (you can open multiple accounts but your account charges will be deducted in the currency you select below). You should maintain a cash balance of 1.5% to cover ongoing charges.

INITIAL INVESTMENT

(by providing specific information to the accumulation of your source of wealth (see examples), this will allow us to determine if documentary evidence is required. Examples: salary, savings, inheritance, sale of property.

What is your initial investment (this is the amount we expect to receive)?

Currency Amount Source of funds

Currency Amount Source of funds

Are you share transferring assets to your Ardan Wealth Platform?

If yes, what is the estimated value? Currency Amount

(please provide a current valuation statement along with this application)

DETAILS OF ANTICIPATED FUTURE INVESTMENTS

How frequently do you expect to make future deposits into this account?

Currency Amount Source of funds

Currency Amount Source of funds

Section 3 Source of funds (this is a mandatory section)

In order for us to comply with our obligations under the Isle of Man's Anti-Money Laundering and Countering the Financing of Terrorism legislation, you must answer the following questions fully.

Ardan has adopted a risk-based approach to meet these obligations, categorising all countries that we will accept business from into 1 of 3 tiers. Each tier has different source of funds requirements. We have categorised countries according to their level of compliance with international regulatory standards

SOURCE OF FUNDS

Please provide us with the details of your bank/savings account that you will use to fund your Client Portfolio Account.

Bank name

Address line 1

Address line 2

Address line 3

Town/city Country

Postcode

Account holder's name

Account currency

Branch Swift Code OR Bank Sort Code - -

(for all non-GBP and International payments)

Swift Code must be either 8 or 11 digits

(for UK GBP payments only)

IBAN (all non-GBP accounts) OR Account number

This number must match the account the transfer is being made from.

(GBP UK Bank only) This number must match the account the transfer is being made from.

Account held for Years Months

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Full name as per passport

Select desired currency (these should be same currency) and enter initial investment

If applicable, enter details of expected deposit frequency & value of expected future deposits (with currency) providing details for the source of funds. Otherwise,

All these details must be filled, ensure none are forgotten (including time account has been held for).

Section 3 Source of funds continued

If applicant have additional bank account, please provide. Otherwise, not applicable to complete this.

Are you funding your Client Portfolio Account from more than one bank account?

Will future deposits be sent from a different account?

If you have answered yes to either of the above questions, please provide us with your additional bank details below.

Bank name
Address line 1
Address line 2
Address line 3
Town/city Country
Postcode

Account holder's name

Account currency

Branch Swift Code OR Bank Sort Code - -
(for all non-GBP and International payments) (for UK GBP payments only)
Swift Code must be either 8 or 11 digits

IBAN (all non-GBP accounts) OR Account number
This number must match the account the transfer is being made from. (GBP UK Bank only) This number must match the account the transfer is being made from.

Account held for Years Months

If you are funding your Client Portfolio Account from more than one bank account, please provide your additional bank details under Section 7 - Your additional notes. Also if your future deposits will be sent from a different account to your initial investment, please provide the bank details and state how long this account has been held, also under Section 7.

In order to comply with our legal obligations in the Isle of Man we need to ask you the following questions:

- / How were the funds accumulated?
- / Over what period was this accumulated?

Please provide the answers to these questions in the box below.

Funds accumulated from salary savings across the period of 5 years.

Applicant must provide a brief about source of the funds and the TIME taken to collect/save it.

POLITICALLY EXPOSED PERSONS

A Politically Exposed Person ("PEP") is a person entrusted with prominent public functions, their family members or persons known to be close associates of such persons. Examples of PEPs include political figures, members of the judiciary, diplomatic service officers, managers and supervisors of state owned enterprises and senior ranking military officers.

Please add the names of any PEPs associated with this application in the box below.

N/A

Ensure PEP is enclosed if applicable.

Where this is left blank, you are confirming that no person associated with this application is politically exposed.

Section 3 Source of funds continued

If a PEP is associated with this application we need to ask you the following questions:

- / What is your total accumulated wealth?
- / How was this wealth accumulated?
- / Over what period was this accumulated?
- / Please provide a summary of how and where this wealth is held? (i.e. UK property, UAE bank account, etc.)

Ensure PEP is enclosed if applicable.

Section 4 Applicant(s) & financial adviser declaration

I/We confirm that I/we have the necessary powers to take out this Client Portfolio Account and enter into a relationship with Ardan.

My/our signature(s) below confirm(s) that:

I/We declare that the information supplied in this application is complete and correct to the best of my/our knowledge and belief at the time of this declaration.

I/We agree to provide Ardan with any further information as may be required.

I/We agree to notify Ardan of any material changes in the information provided in this application including but not limited to, changes in personal details, which may occur after the date of this application.

I/We agree/disagree to Ardan using my/our personal information for marketing purposes. If you do not make any selection we will deem you to have given permission for your information to be used as described. You may change your mind at any time about receiving marketing messages by contacting us using the details shown here - www.ardan-international.com/contact-us.

I/We confirm that I/we have read and understood the Ardan T&Cs.

	First applicant	Second applicant (if applicable)
Signed	<input type="text"/>	<input type="text"/>
Date	<input type="text"/>	<input type="text"/>

If your signature has changed to your passport, please complete a specimen signature form and attach the original to this application.

THIS SECTION IS TO BE COMPLETED BY YOUR FINANCIAL ADVISER.

I, as the financial adviser, confirm that:

- / All required and necessary steps have been undertaken to identify the client and to confirm that the client is not acting on any third party's behalf;
- / I have provided a detailed explanation of the financial adviser fees to be deducted. I have given the client the current Ardan T&C's and Ardan Pricing Schedule governing the Client Portfolio Account.

I confirm that I have met the client(s) and have seen and copied their original identity documents and proof of residential address at this time.

If the answer to this question is No, then please indicate in Section 7, who has certified any documents and in what capacity

Financial adviser name	<input type="text" value="Sarah Khumanpur"/>
Financial adviser company	<input type="text" value="Nexus Wealth Management Limited"/>
Signed	<input type="text"/>
Date	<input type="text"/>

Client must sign and enter correct date

Kindly note all forms must be signed in wet signature or for Digi-signature should be thru docuSign/adobe with summary/final audit report.

Please ensure that the email ID thru docuSign/adobe should appear with referral agent registered email.

Must be filled as "YES"

To be signed by NWM

Section 5 Anti-money laundering checklist

This checklist will help make sure you have provided everything we need to process your application.

VERIFICATION OF IDENTITY - must be provided for individual applicants.

Please send a suitably certified copy* of your passport or National Identity Card showing your photograph(s) and signatures - If you are unable to provide either of these please provide a reason why and contact us to discuss other acceptable documents before sending in your application.

First applicant

Identity document type
Identity document number
Identity document expiry date

Second applicant

Identity document type
Identity document number
Identity document expiry date

First applicant

I have provided identification

If you are unable to provide ID please confirm why below:

Second applicant

I have provided identification

If you are unable to provide ID please confirm why below:

VERIFICATION OF CURRENT RESIDENTIAL ADDRESS must be provided and dated within the last 6 months.

Please send a suitably certified copy* of at least one of the following documents for each individual applicant.

- / Latest bank account or credit card statement (for e-statements, we do not accept a print screen but will accept a downloaded statement converted to a PDF that doesn't need to be certified)
- Utility, rates or council tax bill (we do not accept a copy but will accept a downloaded bill converted to a PDF that does not need to be certified). Mobile telephone bills are not acceptable.
- / Current driving licence
- / Mortgage statement
- / Tax assessment document or any government issued document showing the clients address
- / National Identity Card

* Suitably Certified Copy Documentation

The most common suitable certifiers are:

- / A suitable certifier approved by Ardan
- / An authorised representative of an embassy or consulate of the country who issued the identification document
- / Notary public
- / Lawyer, solicitor, advocate or attorney
- / Commissioner of Oaths within a recognised jurisdiction
- / Accountant who is a member of an institute or other professional organisation
- / A director or secretary of an authorised credit or financial institution located and regulated in an equivalent jurisdiction to the Isle of Man
- / A bank official
- / A registrar or other civil or public servant authorised to issue or certify copy documents
- / An employee of IFGL
- / A Company Secretary who is a member of recognised professional body

If you cannot have your documents certified by one of the above, please contact us.

Complete the identification details and tick the box

Put a mark of applicant provided identification documents.

Section 6 Document checklist

Before sending the application to Ardan, Isle of Man office, please carefully check that you have completed ALL the necessary paperwork for us to process and open your Client Portfolio Account without any inconvenience to you.

- All the MANDATORY questions have been answered in FULL
- The application has been signed on page 7
- Original specimen signature form completed, where the applicant(s) signature has changed to the passport
- Separate pricing schedule signed by the applicant
- Anti-money laundering documents, are legible, valid and suitably certified (where applicable)
- For a share transfer, a current valuation of the asset(s) has been provided
- If funds are being transferred from a Life Company, a copy of the final/closing surrender statement (an estimated statement is not accepted)
- Your financial adviser has online access to the Platform

The same boxes
MUST be ticked

Section 7 Your additional notes

If needed, provide
the additional notes.

CLEAR FORM

Ardan International Limited is licensed by the Isle of Man Financial Services Authority with licence number 1365. Registered in the Isle of Man number 007984V. Registered address: International House, Cooil Road, Douglas, Isle of Man, IM2 2SP British Isles.



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