

## NEW NWM: Client Service Agreement Walkthrough

The Date should be same date of the initial meeting or after. Date to be provided should not be prior the initial meeting.

Applicant/Client's name as per passport & address as per provided supporting documents.



### Client Service Agreement

#### Dated

#### Between:

Nexus Wealth Management Limited, a company incorporated and existing under the laws of the Dubai International Financial Centre, UAE whose registered address is at [insert office address], Dubai U.A.E. Advising & Arranging (the **Company, We, Us, Our**); and

(1) Name of Client:

Address of Client:

(the **Client, You, Your**); Each a **Party** and together, the **Parties**.

#### Background

- (A) We are licensed to practice the activity of "Category 4 Advising & Arranging" and are registered with the Dubai Financial Service Authority ("DFSA");
- (B) You wish Us to provide Advising & Arranging services as per Schedule 1 to this Agreement;
- (C) Both Parties wish to enter into this Agreement to set out the terms and conditions that will govern our relationship in respect of the provision of the "Category 4 Advising & Arranging" services by Us to You in the UAE.

#### It is agreed as follows:

#### 1 Definitions and interpretation

##### 1.1 Definitions

In this Agreement, unless the context otherwise requires, the following definitions apply:

**Agreement** means this agreement and its schedules or annexes, including all amendments and modifications to this agreement as may from time to time be agreed in writing between the Parties;

**Business Day** means a day other than a Saturday and Sunday, or any public holiday declared by the Dubai International Financial Centre, UAE Federal Authority for Government Human Resources and the UAE Ministry of Human Resources and Emiratization for the public or private sector in the UAE;

**DFSA** means Dubai Financial Services Authority;

**DIFC** means Dubai International Financial Centre;



**13.2 Nexus Wealth Management Limited**

Dominique Leimer - SEO

Email: [dominique.leimer@nwmdifc.com](mailto:dominique.leimer@nwmdifc.com)

With a copy to: T.S. Sreeraj

Email: [ts.sreeraj@nwmdifc.com](mailto:ts.sreeraj@nwmdifc.com)

Unit 816, Level 8, Burj Daman, DIFC,

PO Box 124422,

Dubai, UAE.

**13.3 Client**

Name of Client:

Address:

or as otherwise specified by the relevant Party by notice in writing to the other Party.

13.4 Any notice or other communication shall be deemed to have been duly received:

- (a) if delivered personally, when left at the address and for the contact referred to in this Clause; or
- (b) if delivered by commercial courier, on the date and at the time that the courier's delivery receipt is signed; or
- (c) if sent by email, at the time of receipt which means at the time the email enters the receiving Party's information processing system,

provided that, in all cases, if such day is not a Business Day then the notice or communication will be deemed to have been served on the next Business Day.

**14 Miscellaneous**

14.1 Assignment - No Party shall assign, transfer, sub-contract or otherwise dispose of this Agreement or any of its rights and obligations whether in whole or in part without the prior written consent of the other Parties.

14.2 Severability - The invalidity or non-enforceability of any one or portions of sentences, Clauses, paragraphs, or sections in this Agreement shall not affect the validity or enforceability of the remaining portions of this Agreement.

14.3 Language – This Agreement shall be in English and each Party shall receive a copy thereof.

14.4 Waiver - No failure to exercise, and no delay in exercising, by a Party of any right, power or privilege granted in this Agreement or available to it in law, shall operate as a waiver thereof, nor shall any single exercise of any right, power or privilege preclude

This is auto-fill, details captured from page no. 1. (Applicant/Client's name as per passport & address)

the other or further exercise thereof or the exercise of any other right, power or privilege.

- 14.5 Rights Cumulative - All rights granted to a Party shall be cumulative and exercise by any Party of any rights under this Agreement shall not restrict or preclude the exercise of any other rights granted by this Agreement or otherwise available in law.
- 14.6 Rights of Third Parties - No term of this Agreement is enforceable by a person who is not a Party to this Agreement.
- 14.7 Entire Agreement - This Agreement constitutes the whole agreement between the Parties and supersedes all previous oral or written agreements between the Parties relating to its subject matter. Each Party acknowledges that, in entering into this Agreement, it has not relied on, and shall have no right or remedy in respect of, any statement, representation, assurance or warranty (whether made negligently or incorrectly) other than as expressly set out in this Agreement. Nothing in this Clause shall limit or exclude any liability for fraud or for fraudulent misrepresentation.
- 14.8 Amendment - No amendment, variation or interpretation of any of the provisions of this Agreement shall be effective unless made in writing and signed by an authorised representative of each of the Parties to the Agreement.
- 14.9 Counterparts - This Agreement may be executed in any number of counterparts each of which when executed and delivered will be an original but all counterparts together will constitute one and the same document.

**Leave this blank.**  
NWM will fill this section.

**Signed by**  
duly authorised for and on behalf of  
**Nexus Wealth Management Limited**

Sign here:

\_\_\_\_\_

Title:

Print name:

Date:

**Client's details with dated signature**

All forms must be signed with a wet signature that can be sent through email as scanned copy or, if using a digital signature, through DocuSign or Adobe Sign. Ensure the summary/final audit report is included.

The email ID used for DocuSign or Adobe Sign must match the referral agent's registered email.

**Signed by**  
duly authorised for and on behalf of

Sign here:

\_\_\_\_\_

Title:

Print name:

Date:

\_\_\_\_\_